

.01 NA 12-Month or 24-Month Approval Period MAC Requirement



NA budgetary units assigned an approval period of 12 or 24 months are required to report certain changes as they occur and complete an NA Mid Approval Contact (MAC).

Policy

The MAC process requires budgetary units to report certain changes midway through the approval period that occurred since their NA benefits were last approved. The MAC process provides up to two opportunities for the participant to comply with the MAC requirements before their NA benefits are closed and another 30 calendar days to comply after NA closure. The budgetary unit's eligibility can only be reinstated without a new application or interview when the missing report, information, or verification is received by the FAA office within 30 calendar days of the date of closure and at least one month is remaining in the approval period.

The MAC period is **one** of the following:

- Months five and six for 12-month approval periods. (See [Example 1](#))
- Months 11 and 12 for 24-month approval periods. (See [Example 2](#))

The participant can respond to the MAC using **any** of the following:

- MyFamilyBenefits
- OnBase Unity Form
- IVR
- X027 or F027 notice (the notice mailed to the participant not printed from AZTECS)
- FAA-1108A form (for in-person contact only)
- Application for Benefits (FAA-0001A English and Spanish)

NOTE An official application can be used to respond to the MAC when all required information is completed, and the document is signed. The application meets the MAC requirements.

A completed MAC form includes **all** of the following:

- Name of the participant
- Responses to all the questions
- Signature of the [PI\(g\)](#) or their Authorized Representative

The following notices are associated with the MAC process:

- MID APPROVAL CONTACT FORM (X027 or F027) notice
- MID APPROVAL CONTACT CLOSURE (X026 or F026) notice (adequate notice for closure).

The NA budgetary unit is required to complete and return the MAC form and provide all verification, when requested, to continue receiving benefits.

The MAC form informs the participant of the following:

- The requirement to complete the MAC form.
- Due date to return the completed and signed form.
- The requirement to answer all the questions on the form.
- The consequences of failing to complete and return the form.
- The requirement to complete the appropriate section within the form, when any question is answered with a Yes.
- The requirement to sign the certification section attesting to the truthfulness of all the information being provided, and the understanding that reported changes may cause a decrease or closure of benefits.
- Options for how to return the form.

When an initial MAC notice is sent and the participant fails to respond or responds with an incomplete MAC form, AZTECS automatically sends the second MAC notice. The second MAC notice is a reminder and provides the budgetary unit with a second opportunity to comply with the MAC process.

NA Mid Approval Contact Process

When a completed and signed MAC form is received and the budgetary unit answers all questions with a No, and signs the MAC form, no further action is needed from FAA.

The initial X027 notice mails to the participant on the 1st workday of the 5th month (for 12-month approvals) or the 1st workday of the 11th month (for 24-month approvals) of the approval period. The notice requests the participant to answer all questions, sign, and return the form within 10 calendar days.

When the participant does not respond to or fails to submit a completed MAC form by the due date, a second X027 notice mails to the participant. The second X027 notice mails on the 23rd day of the 5th month (for 12-month approvals) or the 23rd day of the 11th month (for 24-month approvals) of the certification period.

The second X027 informs the participant that no response was received, or the MAC form was incomplete. This gives the participant another opportunity to answer all the questions, sign and return the form within 10 calendar days.

When the participant does not respond to the second X027, the X026 notice mails allowing for adequate notice and NA benefits are stopped effective the following month.

Eligibility can be reinstated for budgetary units that have become recently ineligible due to failure to provide required information or verification. Eligibility can be reinstated without requiring the budgetary unit to file a new application when the budgetary unit takes the required action needed within 30 calendar days of the effective date of closure.

MAC Compliance - A Change is Reported

When a completed and signed MAC form is received and one or more of the questions are answered with a Yes (a change is reported), additional action is needed from FAA based on the reported change. Verification may be requested. The change is affected for all open programs.

Procedures

When the application meets the MAC requirements, an ACTS alert is not generated. When the participant complies with the MAC process and INDA has not been updated with a "Y" the worker must manually set the RV ACTS alert and update INDA.

Compliance with No Change Reported

When a completed and signed MAC form is received and the budgetary unit answers all questions with a No, no further action is needed from FAA.

When the signed MAC form is uploaded to OnBase with the MID APPROVAL CONTACT (FAA-1108A, X027, F027, Unity form or MFB) Document Type, AZTECS automatically displays a Y in the MAC RECD field on INDA. The budgetary unit's compliance with the Mid Approval Contact (MAC) process is complete. No further action is needed from FAA. The budgetary unit is eligible to receive their NA benefits for the remainder of the approval period.

Compliance with a Reported Change

When a completed and signed MAC form is received and one or more of the questions are answered with a Yes, additional action is needed from FAA based on the reported change. The change must be affected for all open programs.

Effect changes reported during the Mid Approval Contact (MAC) by following the procedures as outlined in Effecting Changes.

When the budgetary unit fails to provide the requested mandatory verification, close the case using the appropriate Denial Closure Reason Code, allowing for NOAA. Send the F200 Denial Closure notice and document the case file.

When the budgetary unit fails to provide verification of allowable expenses do not stop the benefits. Determine the benefits without the deduction allowing for NOAA.

Noncompliance - Failure to Complete a MAC Form

When the MAC RECD field on INDA remains blank, AZTECS stops the NA benefits during the auto close process for failure to complete the MAC using the IV Denial Closure Code. AZTECS sends the X026 notice. No additional notice is required.

FAA staff must update INDA when completing the MAC process manually with the participant, either in person or over the phone to prevent AZTECS from incorrectly closing NA during the auto close process

NOTE When this occurs an ACTS alert is not generated. When the participant complies with the MAC process and INDA has not been updated with a "Y" the worker must manually set the RV ACTS alert and update INDA

When the participant complies after the auto close process but prior to the effective date of closure, an ACTS alert (MACC - REVIEW FOR REPT-MAC PROVIDED) is generated with a three-day due date.

Revert the NA program to open on REPT using the MACA (MID APPROVAL CONTACT COMPLIANCE) REPT Code.

When the participant complies within 30 calendar days after the effective date of closure, a new application is not required when there is at least one month remaining in the approval period. For more information, see [Reopening Reasons](#).

Revert the NA program to open on REPT using the NAWA REPT Reason Code. Benefits will be prorated for the remainder of the approval period beginning on the date the budgetary unit takes the required action to reestablish eligibility.

Incomplete MAC Form

The MAC form is incomplete when the participant fails to sign the form or answer all the questions.

When an incomplete MAC form is received, overnight AZTECS automatically sends the second X027 notice allowing the participant another opportunity to comply with the MAC requirements.

When the participant still does not comply with the MAC process, overnight AZTECS automatically sends the X026 notifying the budgetary unit the case will be closed allowing for adequate notice.

NA Mid Approval Contact Auto Process

When the MAC form is uploaded to OnBase with the MID APPROVAL CONTACT document type, AZTECS automatically completes the following overnight:

- Displays a Y in the MAC RECD field on INDA
- Generates the MAC CHANGE PROCESS (MACC) ACTS Alert. The ACTS alert assigned by AZTECS is due three workdays from the date the alert is generated

The MAC form must be uploaded to OnBase for the auto process to occur. Once the MAC form is uploaded, the programing allows AZTECS to identify whether the MAC form is complete or incomplete. AZTECS completes the following actions:

- Determines when a change is reported
- Sends the second X027 when the MAC form is incomplete

When the participant does not respond to the second X027, the auto close process runs on the 23rd day of the 6th month (for 12-month approvals) or 23rd day of the 12th month (for 24-month approvals). NA benefits are stopped effective the following month.

NOTE It is very important that the correct Document Type of MID APPROVAL CONTACT (FAA-1108A, Unity form, X027, F027, or MyFamilyBenefits) is selected when any of the MAC forms are received and uploaded to OnBase. When an incorrect Document Type is selected, it prevents AZTECS from automatically displaying Y in the MAC RECD field on INDA. This causes AZTECS to incorrectly close NA during the auto close process.

When the participant complies with the MAC process and INDA has not been updated with a "Y" the worker must manually set the RV ACTS alert and update INDA.

When the participant returns the completed and signed MAC form and answers Yes to any of the questions on the form, AZTECS populates the Y in the MAC RECD field on INDA and generates an ACTS alert.

When an incomplete MAC form is received, AZTECS does not update the MAC RECD field on INDA. AZTECS identifies the form as incomplete and sends the second MAC notice. When the second form is incomplete or not returned, AZTECS closes the NA benefits during the auto close process and sends the X026 closure notice.

The MAC RECD field on INDA is an indicator that the budgetary unit complied by providing a completed and signed MAC form. When this occurs, overnight AZTECS automatically updates INDA. When the MAC RECD field is keyed with a Y, one of the following has occurred:

- FAA received a completed and signed X027 notice, or F027 MAC form and no other information is needed.
- FAA received the X027 notice, or F027 MAC form; changes were reported, and additional information is needed.
- The FAA-1108A is completed for a participant who walks into an FAA Office to complete the MAC.
- The OnBase Unity MAC form is completed for a participant who contacts FAA through the IVR (Interactive Voice Response) to complete the MAC process.

FAA staff must update INDA when completing the MAC process manually with the participant, either in person or over the phone to prevent AZTECS from incorrectly closing NA during the auto close process

Verification

System interface and the [case file\(g\)](#) must be reviewed before verification is requested. No additional verification is needed when AZTECS interface or HEAplus hubs have verified the information.

The participant has the primary responsibility for providing verification. (See [Participant Responsibilities – Providing Verification](#) for additional policy.)

When no changes are reported, the following completed and signed MAC forms are the only verification needed:

- MyFamilyBenefits
- OnBase Unity Form
- IVR
- X027 or F027 notice (the notice mailed to the participant not printed from AZTECS)
- FAA-1108A form (for in-person contact only)

NOTE The MAC must be signed and all questions answered to be considered a completed form.

When a change is reported, additional verification may be needed. Required verification depends on what change is reported.

AZTECS Keying Procedures

When the signed MAC form is uploaded to OnBase with the MID APPROVAL CONTACT (FAA-1108A, X027, F027, Unity form or MFB) Document Type, AZTECS automatically displays a Y in the MAC RECD field on INDA. The budgetary unit's compliance with the Mid Approval Contact (MAC) process is complete.

When verification is needed, send the INFORMATION NEEDED – NA (F011) notice and allow the budgetary unit 10 calendar days to return the verification. When this occurs an ACTS alert is not generated. When the participant complies with the MAC process and INDA has not been updated with a "Y" the worker must manually set the RV ACTS alert and update INDA.

Use collateral contacts when possible, to obtain needed verification. When a request for verification is sent, extend the alert to the workday after all requested verification is due.

Examples

- 1) Maria's NA benefits are approved for the months of 01/2019-12/2019.
Since Maria's budgetary unit is assigned a 12-month approval period, the budgetary unit is required to complete a Mid Approval Contact (MAC) in 05/2019 or 06/2019.
- 2) Maria's NA benefits are approved for the months of 01/2019-12/2020.
Since Maria's budgetary unit is assigned a 24-month approval period, the budgetary unit is required to complete a Mid Approval Contact (MAC) in 11/2019 or 12/2019.

Legal Authorities

- 2150063 – AZ Reinstatement Waiver Extension
- 7 CFR 273.12(a)(5)(ii)(B)
- 7 CFR 273.12(a)(5)(ii)(B)(1 – 4)

FAA6.A Changes : 02 FAA Initiated Changes : A NA Mid Approval Contact (MAC) : .01 NA 12-Month or 24-Month Approval Period MAC Requirement

7 CFR 273.12(a)(5)(ii)(C)

7 CFR 273.12(a)(5)(iii)

7 CFR 273.12(a)(5)(iii)(B)

7 CFR 273.12(a)(5)(iii)(D)

7 CFR 273.12(a)(5)(iii)(E)

7 CFR 273.12(a)(5)(iii)(G)(1 – 3)

7 CFR 273.12(a)(5)(iv)

7 CFR 273.12(a)(5)(v)

7 CFR 273.12(a)(5)(vi)

[Prior Policy](#)

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